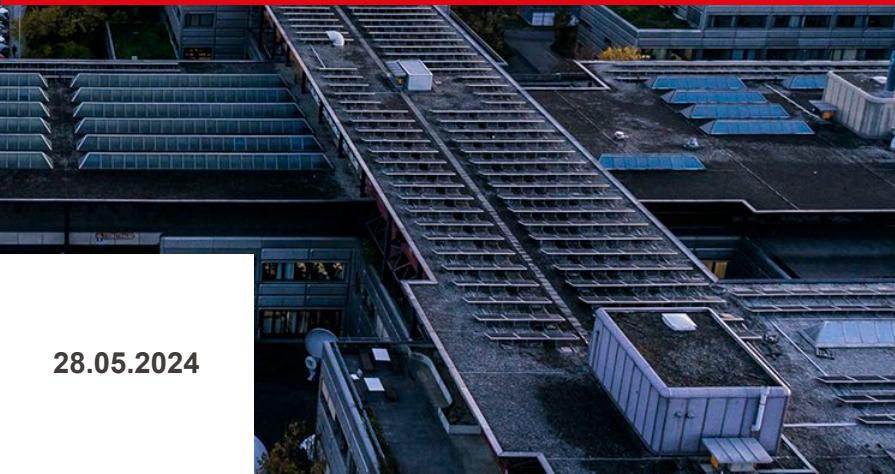


# Éduquer à la bibliométrie : visibilité de la recherche dans les formations de la bibliothèque de l'EPFL

Lorenza Salvatori  
Vincenzo Palatella

28.05.2024



# Qui nous sommes



**Lorenza Salvatori**  
*Responsable équipe*  
Soutien publication  
scientifique



**Vincenzo Palatella**  
Spécialiste formations

## Direction

Soutien au pilotage et communication

Services au public

Soutien académique et recherche

Collections

Digital repositories et archives

Planification et évaluation

Accueil et assistance au public

Enseignement et formation

Développement des collections

Ingénierie documentaire

Communication et évènements

Fourniture de documents

Soutien publication scientifique

Gestion des ressources

Repositories

Gestion données de recherche

Archives EPFL

L'EPFL est signataire de DORA (2017) et CoARA (2024)



It recognizes the need to improve the ways in which researchers and the outputs of scholarly research are evaluated



The assessment of research, researchers and research organisations recognises the diverse outputs, practices and activities that maximise the quality and impact of research.

## Une réflexion est en cours autour de la Barcelona Declaration

BARCELONA  
DECLARATION ON  
OPEN RESEARCH  
INFORMATION

We will...

- make openness the default for the research information we use and produce
- work with services and systems that support and enable open research information
- support the sustainability of infrastructures for open research information
- support collective action to accelerate the transition to openness of research information

A l'EPFL, la bibliométrie est un sujet qui touche transversalement plusieurs services centraux à l'EPFL (notamment dans la Vice-Présidence Académique)

- **Academic Data Unit**

Vise à fédérer les facultés, les services centraux et les partenaires externes de l'EPFL autour d'analyses stratégiques et opérationnelles dans le domaine académique, pour **permettre une prise de décision efficace basée sur des données structurées et des outils modernes nécessaires au pilotage d'une université de premier rang mondial.**

- **Open Science Unit**

Est responsable de la coordination de l'Open Science à l'EPFL. L'unité facilite la conversation sur l'Open Science sur le campus, et la prise de conscience des objectifs, des initiatives et du leadership de l'Open Science dans la communauté de l'EPFL.

- **Bibliothèque**

Elle s'occupe de la bibliométrie dans ses formations, et intègre une réflexion autour des indicateurs et données bibliométriques dans le travail de l'équipe « Repositories »

# L'offre de formations «à la carte» de la bibliothèque

- Beyond Google (recherche documentaire)
- Introduction to LaTeX (LaTeX)
- Research data trainings (DR)
- **Research Visibility** (bibliométrie + science dans les RS)
- **Smart Publishing** (publication)
- Stay Away from Plagiarism (citation, plagiat)
- Up to Speed with Zotero (Zotero)

**Durée :** 1h15/1h30

**Format :** en ligne ou en présentiel

**Public cible :**

doctorants/chercheurs (mais ouverte à tout le monde)

**Fréquence :** 2/3 fois par année

**Langue :** anglais

**Première édition :** juin 2020

**Contenu :**

- Introduction (10')
- **Visibility and Bibliometrics (20')**
- Beyond Bibliometrics (20')  
(personal websites, social networks, ORCID...)
- Channels (10')
- Q&A

Bibliométrie  
(à niveau du journal, de l'article et de l'auteur·rice)

Présenter les métriques traditionnelles (JIF, h-index) et altmetrics

Mettre en évidence les aspects positifs et négatifs

Faire connaître DORA et CoARA

Diapo RV

Bibliometrics are mathematical and statistical methods for **measuring and evaluating scholarly literature**.

Originally used by libraries in the process of subscribing/unsubscribing a journal, they are nowadays also used to assess:

- The performance of a researcher
- The impact of a researcher's output
- The relationships between publications, authors, and fields of research

Bibliometrics are mostly (but not exclusively) based on **citations count**.

# Traditional ways to assess visibility

Diapo RV

## Bibliometrics



# Bibliometrics

## Journal-level

Diapo RV

What's a top-ranked journal? A journal with a **high impact factor in its field.**

An example: journal ranking in the category «Chemistry, Multidisciplinary»

Journal name	ISSN	eISSN	Category	Total Citations	2022 JIF
CHEMICAL REVIEWS	0009-2665	1520-6890	CHEMISTRY, MULTIDISCIPLINARY - SCIE	231,674	62.1
CHEMICAL SOCIETY REVIEWS	0306-0012	1460-4744	CHEMISTRY, MULTIDISCIPLINARY - SCIE	177,860	46.2
Nature Reviews Chemistry	N/A	2397-3358	CHEMISTRY, MULTIDISCIPLINARY - SCIE	9,964	36.3
Energy & Environmental Science	1754-5692	1754-5706	CHEMISTRY, MULTIDISCIPLINARY - SCIE	107,998	32.5
ADVANCED MATERIALS	0935-9648	1521-4095	CHEMISTRY, MULTIDISCIPLINARY - SCIE	369,915	29.4



Source: <https://jcr.clarivate.com/jcr/browse-journals>

# Journal Impact Factor

Diapo RV

$$\text{IF}_y = \frac{\text{Citations}_y}{\text{Publications}_{y-1} + \text{Publications}_{y-2}}.$$

Source: [https://en.wikipedia.org/wiki/Impact\\_factor](https://en.wikipedia.org/wiki/Impact_factor)

## An example: Nature JIF for 2022

### Calculation

x

Journal Impact Factor™ is calculated using the following metrics:

$$\frac{\text{Citations in 2022 to items published in} \\ \text{2020 (76,243) + 2021 (58,978)}}{\text{Number of citable items in 2020 (1,063) +} \\ \text{2021 (1,023)}} = \frac{135,221}{2,086} = 64.800$$

Source: <https://jcr.clarivate.com/jcr-jp/journal-profile?journal=NATURE&year=2022&fromPage=%2Fjcr%2Fhome>

# Bibliometrics

## Author-level

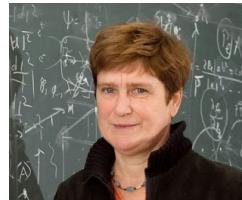
Diapo RV

Author-level metrics evaluate the **productivity** (number of articles published) and the **impact** (number of citations) of a researcher.

**Diapo RV**

Named after its creator, the physicist Jorge Hirsch, the *h*-index is the maximum value of *h* such that *h* articles have at least *h* citations.

### Jane Doe



[Cette photo](#) par Auteur inconnu est soumis à la licence [CC BY-NC-ND](#)

In 2022 Jane published **6 articles**, each of them has been cited 10, 8, 6, 3, 2 and 0 times.

Her *h*-index is **3** because three of her articles have been cited 6 times or more.

### John Doe



[Cette photo](#) par Auteur inconnu est soumis à la licence [CC BY-NC](#)

In 2022 John published **4 articles**, each of them has been cited 12, 10, 9 and 2 times.

His *h*-index is **3** because three of his articles have been cited 4 times or more.

# Bibliometrics

## Article-level

Diapo RV

The latest addition to the world of bibliometrics, it assesses the impact of an individual article.

Bibliometrics at article-level don't take into account citations only but they can include:

- Views and downloads on the journal website and other platforms (COUNTER)
- References saved in some reference managers
- Posts and comments on scientific blogs, Twitter...
- Mentions in traditional media

Differently from the other bibliometrics, the only standard is COUNTER. Each publisher can calculate the rest in a different way.

# Article-level metrics

## Some examples: PLOS ONE

# PLOS ONE

OPEN ACCESS PEER-REVIEWED

RESEARCH ARTICLE

## Survey on open peer review: Attitudes and experiences amongst editors, authors and reviewers

Tony Ross-Hellauer , Arvid Deppe, Birgit Schmidt

Published: December 13, 2017 • <https://doi.org/10.1371/journal.pone.0189311>

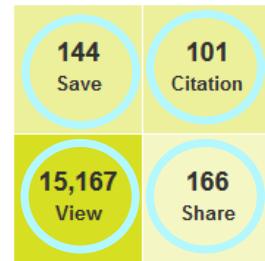
Total  
Mendeley  
+ Citeulike  
bookmarks

Paper's  
citation  
count

Views and  
downloads

Facebook,  
Reddit,  
Twitter and  
Wikipedia  
activities

advanced search



Source: <https://journals.plos.org/plosone/article?id=10.1371/journal.pone.0189311>

# Article-level metrics

## Some examples: Nature

Diapo RV

Views and  
downloads

Paper's  
citation  
count

Social  
media and  
web activity

### A detailed map of Higgs boson interactions by the ATLAS experiment ten years after the discovery

#### Access & Citations

29k

Article Accesses

43

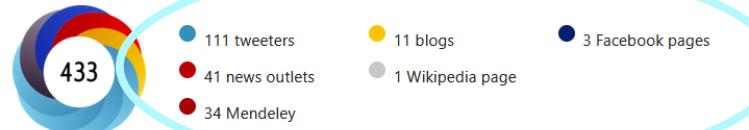
Web of Science

67

CrossRef

Citation counts are provided from Web of Science and CrossRef. The counts may vary by service, and are reliant on the availability of their data. Counts will update daily once available.

#### Online attention



This article is in the 99<sup>th</sup> percentile (ranked 1,954<sup>th</sup>) of the 426,527 tracked articles of a similar age in all journals and the 87<sup>th</sup> percentile (ranked 134<sup>th</sup>) of the 1,052 tracked articles of a similar age in *Nature*

[View more on Altmetric](#)

Altmetric calculates a score based on the online attention an article receives. Each coloured thread in the circle represents a different type of online attention. The number in the centre is the Altmetric score. Social media and mainstream news media are the main sources that calculate the score. Reference managers such as Mendeley are also tracked but do not contribute to the score. Older articles often score higher because they have had more time to get noticed. To account for this, Altmetric has included the context data for other articles of a similar age.

# Bibliometrics Controversies

Diapo RV

Are you a good researcher if you publish in a top-ranked journal?  
<https://www.nature.com/articles/d41586-020-00335-7>

nature

View all Nature Res

Explore our content ▾

Journal information ▾

Subscribe

nature > news > article

NEWS · 06 FEBRUARY 2020

## Highly cited researcher banned from journal board for citation abuse

Investigation finds that biophysicist Kuo-Chen Chou repeatedly suggested dozens of citations be added to papers.

Richard Van Noorden



«According to the editorial, Chou asked authors of dozens of papers he was editing to cite a long list of his publications - sometimes more than 50 - and suggested that they change the titles of their papers to mention an algorithm he had developed.»

# Bibliometrics Controversies

Diapo RV

How would you judge an article with such metrics?

[https://www.thelancet.com/journals/lancet/article/PIIS0140673697110960/  
fulltext](https://www.thelancet.com/journals/lancet/article/PIIS0140673697110960/fulltext)



EARLY REPORT | VOLUME 351, ISSUE 9103, P637-641, FEBRUARY 28, 1998

 Download Full Issue

## RETRACTED: Ileal-lymphoid-nodular hyperplasia, non-specific colitis, and pervasive developmental disorder in children

Dr AJ Wakefield, FRCS • SH Murch, MB • A Anthony, MB • J Linnell, PhD • DM Casson, MRCP • M Malik, MRCP • et al.

Show all authors

Published: February 28, 1998 • DOI: [https://doi.org/10.1016/S0140-6736\(97\)11096-0](https://doi.org/10.1016/S0140-6736(97)11096-0)

# Bibliometrics Controversies

*Diapo RV*

And what about (in particular in author-level metrics)...

- Self-citations?
- Niche research fields?
- Multiple-author articles?
- Weight of citations?
- Sentiment of citations?

# Bibliometrics Controversies

Diapo RV

Should research assessment be based on bibliometrics only?

- San Francisco Declaration on Research Assessment (2012)

<https://sfdora.org/>



- Coalition for Advancing Research Assessment (2022)

<https://coara.eu/>



- SNSF Evaluation procedure

<https://www.snf.ch/en/6cs2wnfJtcfFDL6o/page/evaluation-procedure>





Diapo RV

## GENERAL

1. **Do not use journal-based metrics**, such as Journal Impact Factors, as a surrogate measure of the quality of individual research articles, **to assess an individual scientist's contributions, or in hiring, promotion, or funding decisions.**

## FOR FUNDING AGENCIES

2. Be explicit about the criteria used in evaluating the scientific productivity of grant applicants and clearly highlight, especially for early-stage investigators, **that the scientific content of a paper is much more important than publication metrics or the identity of the journal in which it was published.**

## FOR INSTITUTIONS

4. **Be explicit about the criteria used to reach hiring, tenure, and promotion decisions**, clearly highlighting, especially for early-stage investigators, that the scientific content of a paper is much more important than publication metrics or the identity of the journal in which it was published.

## FOR PUBLISHERS

6. **Greatly reduce emphasis on the journal impact factor as a promotional tool**, ideally by ceasing to promote the impact factor or by presenting the metric in the context of a variety of journal-based metrics [...] that provide a richer view of journal performance.
7. Make available a range of article-level metrics to encourage a shift toward **assessment based on the scientific content of an article rather than publication metrics of the journal in which it was published.**

## FOR ORGANIZATIONS THAT SUPPLY METRICS

11. Be open and **transparent** by providing data and methods used **to calculate all metrics.**
13. Be clear that **inappropriate manipulation of metrics will not be tolerated** [...]

## FOR RESEARCHERS

18. **Challenge research assessment practices that rely inappropriately on Journal Impact Factors** and promote and teach best practice that focuses on the value and influence of specific research outputs.

# From CoARA commitments

Diapo RV

- 2) Base research assessment primarily on **qualitative evaluation** for which peer review is central, supported by **responsible use of quantitative indicators**
- 3) Abandon **inappropriate uses in research assessment of journal- and publication-based metrics**, in particular inappropriate uses of Journal Impact Factor (JIF) and h-index

'Inappropriate uses' include:

- **relying exclusively on author-based metrics** (e.g. counting papers, patents, citations, grants, etc.) to assess quality and/or impact;
- [...]
- relying on any other metrics that do not properly capture quality and/or impact.

12

séances  
(depuis  
juin 2020)

116

participants

3,23/4

évaluation\*

\*Question: «I would recommend this workshop to my peers»

- Garder à jour la formation selon les développements
- Changer le format de la deuxième partie
  - Inviter des chercheurs qui font déjà une utilisation optimale des réseaux sociaux pour des séance de Q&A ?
  - Cas d'études ?

# Et chez vous ?





[lorenza.salvatori@epfl.ch](mailto:lorenza.salvatori@epfl.ch)  
[vincenzo.palatella@epfl.ch](mailto:vincenzo.palatella@epfl.ch)

